

Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/773,880
Filing Date	February 6, 2004
First Named Inventor:	Phil Verinsky
Art Unit	2116
Examiner Name	Thuan N. Du
Attorney Docket Number	3551.P006C3


Sheet

1

of

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
TH		Public Version of Final Initial and Recommended Determinations, In the Matter of <u>Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices</u> , United States International Trade Commission Washington, D.C., Investigation No. 337-TA-506, dated May 16, 2005 (198 pgs)	
TH		Public Version of Petition for Review of Complainants Zoran Corporation and Oak Technology, Inc., In the Matter of <u>Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices</u> , United States International Trade Commission Washington, D.C., Investigation No. 337-TA-506, dated May 27, 2005 (50 pgs)	
TH		Public Version of Respondent's Petition for Review of Final Initial and Recommended Determinations, In the Matter of <u>Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices</u> , United States International Trade Commission Washington, D.C., Investigation No. 337-TA-506, dated May 27, 2005 (206 pgs)	
TH		Public Version of Respondent's Opposition to Complainant's Petition for Review, In the Matter of <u>Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices</u> , United States International Trade Commission Washington, D.C., Investigation No. 337-TA-506, dated June 6, 2005 (40 pgs)	
TH		Public Version of Office of Unfair Import Investigations' Response to Petitions for Review of Initial Determination, In the Matter of <u>Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices</u> , United States International Trade Commission Washington, D.C., Investigation No. 337-TA-506, dated June 15, 2005 (53 pgs)	
TH		Public Version of Complainants Zoran Corporation and Oak Technology, Inc.'s Reply to Respondent's Petition for Review, In the Matter of <u>Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices</u> , United States International Trade Commission Washington, D.C., Investigation No. 337-TA-506, dated June 6, 2005 (163 pgs)	

Examiner Signature		Date Considered	8/19/05
--------------------	---	-----------------	---------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Substituta for Form 1449/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>		Complete if Known	
		Application Number	10/773,880
		Filing Date	February 6, 2004
		First Named Inventor:	Phil Verinsky
		Art Unit	2116
		Examiner Name	Thuan N. Du
		Attorney Docket Number	3551.P006C3
Sheet	1	of	


JUNE 1 & 2005
 U.S. PATENT & TRADEMARK OFFICE

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
MS		<u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C 97-20959 RMW (Consolidated with C 97-21126 RMW) In the United States District Court, For the Northern District of California, San Jose Division, Order Denying UMC's Motion for Summary Judgment that the '715 Patent is Invalid Under 35 U.S.C. § 112 ¶¶1, 2 & 6, filed May 5, 2005 (12 pgs)	
MS		<u>Zoran Corporation and Oak Technology, Inc. v. Mediatek, Inc., Asustek Computer, Inc., Lite-On Information Technology Corp., et al.</u> , Case No. C-04-04609 RMW In the United States District Court, For the Northern District of California, San Jose Division, First Amended Complaint for Patent Infringement dated 12/22/04 (Exhibits A-1 and B-1) (96 pgs)	
MS		<u>Zoran Corporation and Oak Technology, Inc. v. Mediatek, Inc., Asustek Computer, Inc., Lite-On Information Technology Corp., et al.</u> , Case No. C-04-04609 RMW In the United States District Court, For the Northern District of California, San Jose Division, Defendant's Answer to Zoran Corporation and Oak Technology, Inc.'s First Amended Complaint, E-filed 1/10/05 (14 pgs)	
MS		<u>In the Matter of Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices</u> , Invest. No. 337-TA-506, Public Version, U.S. Int'l. Trade Commission, Washington D.C., "Post Hearing Brief of Complainants Zoran Corporation and Oak Technology, Inc. dated 3/7/05 (197 pgs)	
MS		<u>In the Matter of Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices</u> , Invest. No. 337-TA-506, Public Version, U.S. Int'l. Trade Commission, Washington D.C., "Post Hearing Reply Brief of Complainants Zoran Corporation and Oak Technology, Inc. dated March 21, 2004 (142 pgs)	
MS		Public Version, U.S. Int'l. Trade Commission, Washington D.C., In the Matter of <u>CERTAIN OPTICAL DISK CONTROLLER CHIPS AND CHIPSETS AND PRODUCTS CONTAINING SAME, INCLUDING DVD PLAYERS AND PC OPTICAL STORAGE DEVICES</u> , "Public Version of Respondents' Post-Hearing Reply Brief", May 9, 2005, 141 pages.	
MS		Public Version, U.S. Int'l. Trade Commission, Washington D.C., In the Matter of <u>CERTAIN OPTICAL DISK CONTROLLER CHIPS AND CHIPSETS AND PRODUCTS CONTAINING SAME, INCLUDING DVD PLAYERS AND PC OPTICAL STORAGE DEVICES</u> , "Public Version of Respondents' Post-Hearing Brief", May 9, 2005, 226 pages.	
MS		Public Version, U.S. Int'l. Trade Commission, Washington D.C., In the Matter of <u>CERTAIN OPTICAL DISK CONTROLLER CHIPS AND CHIPSETS AND PRODUCTS CONTAINING SAME, INCLUDING DVD PLAYERS AND PC OPTICAL STORAGE DEVICES</u> , "Post-Hearing Brief of the Commission Investigative Staff", March 7, 2005, 84 pages.	
MS		Public Version, U.S. Int'l. Trade Commission, Washington D.C., In the Matter of <u>CERTAIN OPTICAL DISK CONTROLLER CHIPS AND CHIPSETS AND PRODUCTS CONTAINING SAME, INCLUDING DVD PLAYERS AND PC OPTICAL STORAGE DEVICES</u> , "Post-Hearing Reply Brief of the Commission Investigative Staff", March 21, 2005, 64 pages.	
Examiner Signature			Date Considered 8/4/05

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
 This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.
 If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	2	of	14	Attorney Docket Number	3551.P006C3
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
TS		"The Soaring CD_ROM Drive Market – Worldwide Shipments," excerpt from Computer Reseller News, 6/24/1991, page 101.			
TS		"Growing supply of games propels optical-drive shipments," excerpt from Electronic Business, July 1993, page 22.			
TS		"8/30 Prototype Status Update," Product.XLS, RX-25C, (1 page)			
TS		"PS011.XLS," 9/2/93, (1 page)			
TS		"Prototype Tracking Sheet – Weekending 8/27/93," Product #OTI-011A, Die Size 169.3 x 213.1, Technology: TSMC 0.8um Compass 470 Library, (1 page)			
TS		Working Draft, "Information Technology – AT Attachment Interface with Extensions (ATA-2), X3T9.2 948D, Revision 1, 9/20/1993, RX-336, 62 pages			
TS		BERLEKAMP, E, "Bit-Serial Reed-Solomon Encoders," November 1982, IEEE Transactions On Information Theory, Vol. 1T-28, No. 6, 0018-9448/82/1100-0869\$00.875, pages 869-874.			
TS		BOULAY, PAUL, "SCSI-2 CD-ROM Command Set Proposal," X3T9.2/87-210 Revision 2," March 6, 1988, 1-63 pages.			
TS		"Identification of Proposed Project - SCSI Common Access Method," April 27, 1990 by ASC X3T9.2, ftp://ftp.t10.org/x3t9.2/document.90/90-057rl.txt , 1-3 pages.			
TS		"Identification of Proposed Project ATA Extensions," dated August 23, 1991 by X3T9 Technical Committee, , ftp://ftp.t10.org/x3t9.2/document.91/91-104rl.txt , 1-4 pages.			

Examiner Signature		Date Considered	8/4/05
--------------------	---	-----------------	--------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.
If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	3	of	14	Attorney Docket Number 3551.P006C3	
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T²
TS		SHAH, S., "Proposed Changes for LBA support for ATA I/F – ATA (AT Attachment) X3T9.2/92-157r5," dated September 21, 1992, 1-9 pages.			
TS		SHAH, S. "Support for Greater Than 528MB ATA Drive," X3T9.2/92-74, dated March 18, 1992, Western Digital Corporation, 1-8 pages.			
TS		BUDDINE, L., et al., "The Brady Guide to CD-ROM" Prentice Hall Press (1987), cover page, table of contents, pages 71-76 and 373-			
TS		"Western Digital Enhanced IDE Implementation Guide Appendix F – Western Digital's IDE CD-ROM Proposal Revision 1.0," dated October 7, 1993, Original version: 6/23/1993, MTK-ITC-126502 - MTK-ITC-126542 (total 40 pages)			
TS		"American National Standard – Small Computer Systems Interface (X3.131-198X) SCSI-2," Technical document from website ftp://ftp.t10.org/x3t9.2/document.89/89-130r1.txt , 8/24/2004. 1-113 pages.			
TS		ALLAN, I. DAL, "Small Form Factor Committee Specification of ATA Packet Interface for CD-ROMs – SFF-8020," Revision 1.2, June 10, 1994, cover page, pages i-x, pages 1-186.			
TS		"Wearnes Ships \$449 MPC-Level 2, CD-ROM Drive with \$199 Wave Table Sound Card," August 2, 1993; PR Newswire Association, Inc., 377 th Story of Level 1 printed in FULL format, Lexis-Nexis, page 21-22.			
TS		"Information on a silver platter: CD-ROM drives," February 1993; Computer Shopper Guide; Vol. 13; No. 2; p. 374; ISSN: 0886-0556, pages 14-18.			
TS		QUAIN, JOHN R., "CD-ROM Gaining Momentum, CD-ROM drives; The Perfect PC; Cover Story," July 1993, 381 st Story of Level 1 printed in FULL format, PC Magazine, Ziff-Davis Publishing Company, Copyright 1993, pages 19-20.			
TS		LI, SING, "Writing non-SCSI CD-ROM Device Drivers; Small Computer System Interface," 359 th Story of Level 1 printed in FULL Format, March 1994, M&T Publishing Inc., Copyright 1994, pages 29-48.			

Examiner Signature		Date Considered	8/4/05
-------------------------------	---	----------------------------	--------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.
If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	4	of	14	Attorney Docket Number	3551.P006C3
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
TS		E-mail from Tom Hanan to X3T9.2 Committee (SCSI), "SFF Local Bus Meeting Minutes," X3T9.2/93-022 rev 0, Jan. 28, 1993, pages 1-3.			
TS		Memorandum from M. Furuta to D. Tsang and K. Fujimoto re OTI-011 Volume Purchase Agreement and Mitsumi IDE with attached Certified Japanese Translation, pp. 1-10, 7/27/1993			
TS		OTI-011 IDE CD-ROM Controller Engineering Specification, Rev. 2.0, pp. 1-50, dated 10/25/1993			
TS		Handwritten document titled, "OTI012 OTI011/New"; 1 pg., dated 2/24/1993			
TS		Philip Verinsky/Oak Technology Comp Book/Notebook, 12 pgs., dated 5/26/93, 5/27/93, 5/28/93, 5/29/93, 6/14/93, 7/7-8/93, and 7/29/93			
TS		Memorandum from W. Wang to N. Sugie, P. Verinsky, L. Ebisu, J. Ito, A. Tanaka and Kato to N. Sugie re Preliminary report on IDE, 2 pgs., dated 9/5/1992			
TS		Mitsumi Sales Call Report, 1 pg., April 14, 1993			
TS		Memorandum from W. Wang to P. Verinsky, L. Ebisu, J. Ito and N. Sugie re Weekly Status Report, 1 pg., dated 10/2/92			
TS		Memorandum from T. Makino to D. Tsang, P. Brown and P. Verinsky re Visit to Company, 3 pgs., dated 7/5/1993			
TS		E-mail thread dated October 6, 1993 from P. Brown to tomel@microsoft.com re Microsoft PDC announcement, 32 pgs.			

Examiner Signature		Date Considered	8/4/05
--------------------	---	-----------------	--------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.
If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	5	Of	14	Attorney Docket Number	3551.P006C3
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
[Signature]		E-mail thread dated August 13, 1993 from P. Verinsky to H. Shinohara et al. re More Answers to Register Questions, 117 pgs.			
[Signature]		String of emails from N. Sugie to P. Verinsky, 80 pgs., dated 8/26/93			
[Signature]		Memorandum from P. Brown to D. Bryson re Barrier Plan, 2 pgs., dated 4/20/94			
[Signature]		Document titled, "Small Form Factor Committee Specification for ATA Timing Extensions for Local Bus Attachment Rev 1.1," dated September 18, 1993, 17 pgs.			
[Signature]		Technical document titled, "ATAPI CD-ROM Specification AT Attachment Packet Interface, CD-ROM Devices Revision A1.6," dated September 10, 1993, 174 pgs.			
[Signature]		Technical document titled, "ATAPI CD-ROM Specification AT Attachment Packet Interface, CD-ROM Devices Revision A1.7," dated October 18, 1993 by Western Digital, 176 pgs.			

Examiner Signature		Date Considered	8/4/05
--------------------	---	-----------------	--------


*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	6	of	14	Attorney Docket Number	3551.P006C3
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
JD		"ATAPI CD-ROM Specification Preliminary Draft AT Attachment Packet Interface CD-ROM Devices Revision A1.7B," technical document dated November 2, 1993 by Western Digital			
JD		"OTI-011A," Various schematics.			
JD		"Working draft Information technology – AT Attachment Interface with Extensions (ATA-2) X3T9.2 948 D Revision 0," technical document dated September 1, 1993			
JD		"Minute for Device Driver Development," with Memorandum re Oak Technology and NEC Home Electronics "exclusive arrangement on May 26, 1993" signed by D.D. Tsang (05/27/93) and M. Kaneko (05/28/93); also attached is document in Japanese with attached certified Japanese translation			
JD		"HMISC – UMISC Register;" Schematic, (1 pg.).			
JD		"HMSCR – UMISC Read Register;" Schematic, (1 pg.).			
JD		"HICTL Register," Schematic, (1 pg.).			
JD		Handwritten notes "Old OTI011 and New OTI011" (with ATAPI Support)			
JD		ATAPI CD-ROM Standard, Revision A1.6, changes between A1.5 and A1.6, 9/10/93.			
JD		"CD-ROM to IEA BUS I/F, 16-BIT DMA," Schematic, (1 pg.).			
JD		"CD-ROM TO ISA BUS I/F, 8-BIT FIA/DMA," Schematic, (1pg.).			
JD		"CD-ROM DRIVE, ENHANCES MODE (PRELIMINARY)," Schematic, (1 pg.).			
JD		"IDE Bus Transaction Types."			
JD		Hand drawn schematic (1 pg.).			
JD		Handwritten notes "3/20/93 at home," (1 pg.).			
JD		Memorandum dated September 5, 1993 from Phil Verinsky to Mr. Utsumi and Mr. Shinohara at NEC Home Electronics, (2 pgs.).			
JD		Letter to Nagai from Verinsky re OTI-011, July 30, 1993, (1 pg.).			

Examiner Signature		Date Considered	8/4/05
-----------------------	---	--------------------	--------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	7	of	14	Attorney Docket Number	3551.P006C3
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
↗		COLE, BERNARD C., "IDE ATA Disk Interface Hits the Wall," Electronic Engineering Times, May 3, 1993, p.45 (pages 1-4), ISSN: 0192-1541			
↗		Draft Proposed American National Standard -"ATA (AT Attachment), Prepared by X3T9 I/O Interface Accredited Standards Committee X3- Information Processing Systems			
↗		Working Draft - "AT Attachment Interface for Disk Drives," X3T10791D, Revision 4c, Copyright 1994, pages 1-45.			
↗		Exhibit 7 (from Exhibit 13) to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement: E:\OT1011A\INET011A\VTI.LOG			
↗		Exhibit 13 to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement: OTI-011AB Microcontroller Registers			
↗		Exhibit 44 to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement: "CD-Rom Supply Chain Tries to Keep Momentum Going," Electronic Business Buyer, page 1-2, June 1995.			
↗		Exhibit D to Exhibit 14 to Amended Complaint: Declaration of Allen Samuels in Support of Infringement and Domestic Industry of U.S. Patent No. 6,584,527 and 6,546,440: ATAPI Specification ATA Packet Interface for CD-ROMS Rev. 2.6 1/22/96, 208 pages.			
↗		Press Release: "Oak Technology Introduces Fourth Generation IDE/CD-ROM Controller - OTI-910 Extends Oak's Leadership Position in IDE CD-ROM Technology," 8/7/1995			
↗		Press Release: "Oak Technology Debuts Next-Generation Multimedia Controller for IDE CD-ROM Drives - Integrates Advanced Design Features for Multimedia Applications," 12/5/94.			
↗		Oak Technology Product Guide 1994, Copyright 1994, pages 1-39.			
↗		OTI-011 Task List, 1/21/93, pages 1-5.			
↗		Memorandum from Phil Verinsky to Mr. H. Shinohara, NEC Home Electronics re: OTI-011 Status on September 5, 1993, 1 page			
↗		IDE/HOST Checklist dated 6/7/93, 1-2 pages.			
↗		Memorandum from Phil Verinsky to H. Shinohara @ NEC Home Electronics re: OTI-011 Status, September 1, 1993, 1 page			
↗		Memorandum from Phil Verinsky to H. Shinohara at NEC Home Electronics, re: OTI-011 Current Status, September 9, 1993, 1 page.			

Examiner Signature		Date Considered	8/4/05
--------------------	---	-----------------	--------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.
If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	8	of	14	Attorney Docket Number	3551.P006C3
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
DS		OTI-011 Register Changes authored by Don Smith, 1 page.			
DS		OTI-011 IDE Interface Control Registers (from the microcontroller's perspective), 2 pages.			
DS		International Standard, "Compact Disc Digital Audio System" ("Red Book"), CEI IEC 908, First Edition, 1987, 66 pages.			
DS		Exhibit 1 to Response to Complaint: "ATAPI CD-ROM Standard: Proposal for Working Draft," RX-84C from CD ROM CONTROLLERS II, June 10, 1993, Western Digital, 130 pages.			
DS		"OTI-011 Microcontroller Registers," RX-16C from CD ROM CONTROLLERS II, 4/21/1993, 5 pages.			
DS		"Draft Proposed American National Standard" – ATA (AT Attachment), Prepared by X3T9-I/O Interface Accredited Standards Committee – X3, X3.221-199x RX-31C from CD ROM CONTROLLERS II, 2/10/1992, 56 pages.			
DS		"IDE/Host Checklist RX-17C from CD ROM CONTROLLERS II, Revised Monday, June 7, 1993 at 11:35:46 AM, 2 pages.			
DS		"Schematics re Host RX-134C" from CD ROM CONTROLLERS II, 4/14/1997, 23 pages.			
DS		Schematics re Host by phil on November 13, 1998 at 6:37 PM, 15 pages.			
DS		"OTI-011AB Microcontroller Registers," 5/23/1993, 7 pages.			
DS		Sanyo Application Note No. E89 entitled "Sanyo LC8950 & LC8951 Real-Time Error Correction and Host Interface Processors for CD-I and CD-ROM Applications," RX-4C from CD ROM CONTROLLERS II, June 1989, 125 pages.			
DS		Section from ATAPI CD-ROM Standard - Proposal for a Working Draft, Version A1.3, June 10, 1993, 10 pages.			
DS		Oak employee Don Smith's design notes describing the OTI-011 registers, including the direct access feature for PDIAG, DASPEN and clear BSY signals, RX-20C from CD ROM CONTROLLERS II, 1 page			
DS		Handwritten drawing: "Execute Drive Diagnostic," RX-23 from CD ROM CONTROLLERS II, 4/21/1993, 1 page.			

Examiner Signature		Date Considered	8/4/05
--------------------	---	-----------------	--------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	9	of	14	Attorney Docket Number	3551.P006C3

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Schematic: Host 8 Byte FIFO (Read Data), 11/13/1998 at 6:38 PM, 1 page	
		Status Report from Phil Verinsky RX-48C from CD ROM CONTROLLERS II, 2/26/1993, 1 page.	
		Memo from Kenji Fujimoto to David Tsang, "OTI-012 and the license of OTI-011, 4/23/1993, 2 pages.	
		Phil Verinsky's inventor notebook, RX-27C from CD ROM CONTROLLERS II, 41 pages	
		"ATAPI CD-ROM Specification A1.4 - Obsolete - AT Attachment Packet Interface, CD-ROM Devices," 08/17/93, 144 pages.	
		"ATAPI CD-ROM Specification - AT Attachment Packet Interface," CD-ROM Devices RX-344C from CD ROM CONTROLLERS, 9/19/1993, 179 pages.	
		"IDE CD-ROM Controller - OTI-011 Preliminary Specification RX-268C" from CD ROM CONTROLLERS II, 2/4/1994, 100 pages.	
		Western Digital Generated Proposal for a Working Draft: "ATAPI CD-ROM Specification," Revision A1.7 RX-343C from CD ROM CONTROLLERS II, 10/18/1993, 178 pages.	
		WILSON, RON, "CD-ROM Chips Raise Speed Ante," EET, 8/14/95, 1 page.	
		Small Form Factor Committee Specification of "ATA Packet Interface for CD-ROMs SFF-8020," Rev. 1.2, 2/24/1994, 197 pages.	
		FRICKS, JAMES R., "Compact Disc Terminology" 1992, Disc Manufacturing, Inc., 1-26 pages.	
		"ATAPI CD-ROM Standard Revision A1:4, changes between A1.3 & A1," Working document & General Proposal, August 17, 1993 by Western Digital, 144 pages.	
		"ATAPI CD-ROM Standard Revision A1:5, changes between A1.4 & A1.5," dated August 28, 1993 by Western Digital (with marginalia), 89 pages.	
		Western Digital Generated Proposal for a Working Draft: "ATAPI CD-ROM Specification AT Attachment Packet Interface, CD-ROM Devices," Revision A1.5," dated August 28, 1993 by Western Digital, 158 pages	
		"Changes between A.13 and A.14," "Preliminary Draft ATAPI CD-ROM Specification Revision A1.4," dated August 17, 1993 by Western Digital, 75 pages.	

Examiner Signature		Date Considered	8/4/05
--------------------	--	-----------------	--------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.
If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
Examiner Name	Thuan N. Du				
Sheet	10	of	14	Attorney Docket Number	3551.P006C3

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		OTI -011A schematics (Zoran/Oak Tech) by Phil on November 13, 1998, 348 pages.	
		IDE interface control registers -RX-22C from CD ROM CONTROLLERS II, 1 page.	
		Email from Weigung Wang to Noboru Sugie re: Weekly Status Report 9/18/92, RX-100C from CD ROM CONTROLLERS II, 1 page.	
		OTI-011AB Microcontroller Registers, May 23, 1993 register map, 7 pages.	
		Schematic HPIMF - Host Pin Functionality Changes, 1 page.	
		Exhibit 9 to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement, 7 pages.	
		Exhibit 10 to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement: Module tree for Host Interface Modules of OTI-011A, 2 pages.	
		Exhibit 11 to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement: Schematic diagram for OTI-011A dated July 18, 1993, 1 page.	
		Exhibit 12 to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement: Changes SC.TXT Schematic\Netlist Changes, 2 pages.	
		Exhibit 14 to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement: OTI-011AB Microcontroller Registers, 8 pages.	
		Draft Agreement between Oak and NEC Home Electronics Co. Ltd. Optical Media Products Divisions, October 1993, 4 pages.	
		OTI-011AB Microcontroller Registers - Preliminary 7/20/1993, 9 pages.	
		OTI-011AB Microcontroller Registers - Preliminary 7/18/1993, 15 pages.	
		PowerPoint Presentation: Oak Technology, Inc. Multimedia Solutions in Silicon: Background and Overview of OTI-011, Mar. 94, 33 pages.	
		PowerPoint Presentation: Oak Technology, Inc. Multimedia Solutions in Silicon: Multimedia PC Market Trends, 5/2/94, 27 pages.	
		PowerPoint Presentation: Oak Technology, Inc. Multimedia Solutions in Silicon CD-ROM, 4/12/94, 35 pages.	
		OTI-011 IDE CD-ROM Controller Preliminary Engineering Specification, 2/4/94, 98 pages.	

Examiner Signature		Date Considered	8/4/05
--------------------	--	-----------------	--------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	11	of	14	Attorney Docket Number	3551.P006C3
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
↗		Memorandum from NEC to Oak Technology on 7/5/1993 regarding NEC visit to Oak, 7/5/93, 3 pages.			
↗		Memorandum from Kenji Fujimoto to Peter Brown re: Mitsumi and OTI-011 and OTI-012 on 7/13/1993, 1 page.			
↗		Memorandum from Kenji Fujimoto to Peter Brown re: Mitsumi and OTI-011 and OTI-012 on 8/18/1993, RX-453C from CD ROM CONTROLLERS II, 4 pages.			
↗		Fax communication from Peter Brown to Junji Tanaka, Tomoka Etoh on 9/30/93 regarding shipping OTI-0111, 1 page.			
↗		Fax communication from Tomoka Etoh to Peter Brown on 10/4/1993 regarding shipping OTI-011 Price for Mitsumi, 4 pages.			
↗		Memorandum from Kenji Fujimoto to David Tsang on 10/20/1993 regarding NEC Home Electronics Business, RX-105C from CD ROM CONTROLLERS II, 4 pages.			
↗		Memorandum from Kenji Fujimoto to Peter Brown on 11/24/1992 re: IDE-CD ROM controller, 1 page.			
↗		Memorandum from Peter Brown to Scott Alberta on 11/22/1993 regarding Product Release Plan, 3 pages.			
↗		Memorandum from Phil Verinsky to NEC Home Electronics regarding EXECUTE DIAGNOSTIC Command, 2 pages.			
↗		Memorandum from Phil Verinsky to NEC Home Electronics re: OTI-011 Status on September 7, 1993, 1 page.			
↗		Memorandum from Phil Verinsky to NEC Home Electronics re: OTI-011 Status on September 8, 1993, 1 page.			
↗		Memorandum from Phil Verinsky to NEC Home Electronics re: OTI-011 Status Update, September 12, 1993, 1 page.			
↗		Memorandum from Phil Verinsky to NEC Home Electronics re: OTI-011 Status Update, September 13, 1993, 1 page.			
↗		Pins Needed for IDE-bus interface in the OTI-011, 2 pages.			
↗		Memorandum from A. Tanaka to Phil Verinsky re: IDE Prototype Summary, 2/19/93, 5 pages.			
↗		Memo from A. Tanaka to Phil Verinsky re: IDE Prototype Summary [DEPO EXHIBIT 518 (Case)], RX-47C from CD ROM CONTROLLERS II, 2/1993, 4 pages.			
↗		IDE Interface Diagrams from Mitsumi, RX-30C from CD ROM CONTROLLERS II, 15 pages.			

Examiner Signature		Date Considered	8/4/04
--------------------	---	-----------------	--------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	12	of	14	Attorney Docket Number	3551.P006C3

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Memo of 10/9/92 from Weigung Wang to Neboru Sugie (cc: Phil Verinsky) re: Weekly Status Report RX-35C from CD ROM CONTROLLERS II, 1 page.	
		Memo of 10/16/92 from Weigung Wang to Neboru Sugie (cc: Phil Verinsky) re: Weekly Status Report RX-36C from CD ROM CONTROLLERS II, 1 page.	
		10/23/92 from Weigung Wang to Neboru Sugie (cc: Phil Verinsky) RX-451C from CD ROM CONTROLLERS II, 1 page.	
		HOST IDE REG CONTROL schematics printed on 4/30/93; CPX-51C oversize of 1003DOC00006, 2 pages.	
		ATAPI CD-ROM Standard and Verinsky Handwritten Notes dated 6/13/93, 10 pages.	
		OTI-011 IDE CD-ROM Controller - Engineering Specification, Revision 1.1 (1003DOC00625-1003DOC00644) (Depo Exhibit 508 of Case Deposition on 9/16/04), 20 pages.	
		Schematics re IDE and DRV RX-30C from CD ROM CONTROLLERS II, 15 pages.	
		Oak Preliminary Specification, OTI-012 CD-ROM Controller Chip RX-10C from CD ROM CONTROLLERS II, 79 pages.	
		Architectural Outline for the OTI-011 CPX-167C from CD ROM CONTROLLERS II, 1 page.	
		Small Form Factor Committee Specification of ATA Packet Interface for CD-ROMs SFF-8020, Revision 1.2 (aka ATAPI Specification), 196 pages.	
		Handwritten drawing: Software Reset RX-24C from CD ROM CONTROLLERS II, 1 page.	
		Schematics: Host, 7 pages.	
		Oak Tech. - OTI-012 Overview RX-3C from CD ROM CONTROLLERS II, 10 pages.	
		OTI-011 IDE CD-ROM Controller - Engineering Specification, Revision 1.1 RX-12C from CD ROM CONTROLLERS II, 20 pages.	
		Fax from Peter Brown to Kenji Fujimoto re IDE CD-ROM Presentation (entitled OTI-011 Product Features), 6 pages.	
		Memo from Kenji Fujimoto to Peter Brown re IDE-CD ROM Controller RX-43C from CD ROM CONTROLLERS II, 1 page.	
		Fax from Tan Thye Seng/Wearnes Peripherals to Phil Verinsky re Schematic and Other Issues, 1 page.	

Examiner Signature	Date Considered
--------------------	-----------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	13	of	14	Attorney Docket Number	3551.P006C3
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
A		Memo from Weigung Wang to Noboru Sugie re Weekly Status Report 06/26/92 RX-28C from CD ROM CONTROLLERS II, 1 page.			
A		Daughter board schematics RX-374C from CD ROM CONTROLLERS II, 10 pages.			
A		Memo from Weigung Wang to Noboru Sugie RX-32C from CD ROM CONTROLLERS II, 1 page.			
A		Memo from Weigung Wang to Noboru Sugie RX-37C from CD ROM CONTROLLERS II, 1 page.			
A		Memorandum from W. Wang to N. Sugie, P. Verinsky, L. Ebisu, J. Ito, A. Tanaka and Kato to N. Sugie 9/5/92, 2 pages.			
A		Handwritten document titled, "IDE Interface Issues" RX-19C from CD ROM CONTROLLERS II, 1 page.			
A		Technical document titled, "Oak Technology OTI-011AB Microcontroller Registers," dated October 1, 1993 RX-267C from CD ROM CONTROLLERS II, 16 pages.			
A		Document titled, "OTI-11 IDE CD-ROM Controller Preliminary Engineering Specification," dated February 4, 1994 by Oak Technology, 100 pages.			
A		Memorandum from K. Suzuki at NEC to P. Verinsky, P. Brown, D. Tsang, K. Fujimoto, Eto, R. Hayatsu, T. Makino, Y. Utsumi, H. Hasunuma, K. Yasui, S. Tsuruhara and H. Shinohara re: OTI-011 Meeting RX-137C from CD ROM CONTROLLERS II, 1 page.			
A		IDE2 PCB, Mitsumi Rev. A by A. Tanaka, 1 page.			
A		Handwritten schematics titled, "Execute Drive Diagnostic," "Write to Command Register," and Software Reset, 4 pages.			
A		Document titled "Misc Spec Review and Discussions with Phil V. about OTI-011", 1 page.			
A		Notebook by Smith with "Oak Tech HDC" on cover dated 6/22/92, 34 pages.			
A		Document titled "IDE/Host Checklist 4/20/93", 1 page.			
A		Oak CD-Rom Product Plan, 5 pages.			
A		Handwritten Notes re: OTI-011 Reset, 1 page.			
A		Handwritten Notes re: OTI-012, OTI-011/NEW, 1 page.			
A		Handwritten schematics titled, "Execute Drive Diagnostic," "Write to Command Register," and Software Reset, 3 pages.			

Examiner Signature		Date Considered	2/4/05
--------------------	---	-----------------	--------


*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	14	of	14	Attorney Docket Number	3551.P006C3
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
→		Handwritten schematic, 1 page.			
→		Handwritten notes titled "DRAM Mode", 2 pages.			
→		Memorandum from Phil Verinsky to NEC Home Electronics re: OTI-011 Development status, 1 page.			
→		Sanyo Application Note No. E89 entitled Sanyo LC8950 & LC8951 Real-Time Error Correction and Host Interface Processors for CD-I and CD-ROM Applications RX-4C from CD ROM CONTROLLERS II Also ZC 001273-ZC 001396 (prosecution history), 125 pages.			
→		OTI-011AA Microcontroller Registers Formally CX-124C (broken out), 5 pages.			
→		Technical document titled, "ATAPI CD-ROM Specification AT Attachment Packet Interface, CD-ROM Devices Revision A1.5," dated August 28, 1993 by Western Digital, 161 pages.			
→		Technical document titled, "ATAPI CD-ROM Specification AT Attachment Packet Interface, CD-ROM Devices Revision A1.4," dated August 17, 1993 by Western Digital, 141 pages.			
→		International Standard, ISO/IEC 10149, International Technology-Data interchange on read-only 120 mm optical data disks (CD-ROM) Yellow Book, 45 pages.			
→		Oak Bids for MultiMedia Role, in Electronic News, April 4, 1994, p. 24, 1 page.			
→		Oak Rolls High Speed CD-ROM Controller, in Electronic News, August 14, 1995, 1 page.			
→		Notes, Mitsumi Meeting 7/9/92 at Oak U.S.A. RX-29C from CD ROM CONTROLLERS II, 5 pages.			
→		Document titled "IDE/Host Checklist 4/13/93", 1 page.			
→		Document titled "New Select Inhibit List" dated 4/25/93, 3 pages.			
→		Michael Case Notebook, 12 pages.			

Examiner Signature		Date Considered	8/4/05
--------------------	---	-----------------	--------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.
If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2